



07-02-01

REISSUE

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PTO/SB/50 (02-01)

Approved for use through 01/31/2004. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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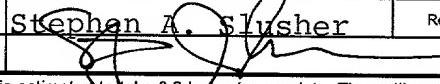
## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:  Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	41145-1001
	First Named Inventor	GEORGE R. SCHWARTZ
	Original Patent Number	5,916,242
	Original Patent Issue Date (Month/Day/Year)	06/29/99
	Express Mail Label No.	

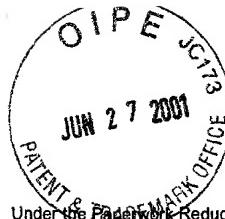
APPLICATION FOR REISSUE OF: (Check applicable box)	<input checked="" type="checkbox"/> Utility Patent	<input type="checkbox"/> Design Patent	<input type="checkbox"/> Plant Patent
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APPLICATION ELEMENTS (37 CFR 1.173)		ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/56) <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification and Claims in double column copy of patent format ( <i>amended, if appropriate</i> ) 4. <input type="checkbox"/> Drawing(s) ( <i>proposed amendments, if appropriate</i> ) 5. <input checked="" type="checkbox"/> Reissue Oath/Declaration (original or copy) <i>(37 C.F.R. § 1.175) (PTO/SB/51 or 52)</i> 6. <input checked="" type="checkbox"/> Power of Attorney  7. Original U.S. Patent currently assigned? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <i>(If Yes, check applicable box(es))</i> <input type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (PTO/SB/96) 8. <input type="checkbox"/> CD-ROM or CD-R in duplicate, Computer Program (Appendix) or large table  9. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all of the following are necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CFR) b. Specification Sequence Listing on: i <input type="checkbox"/> CD-ROM (2 copies) or CD-R (2 copies); or ii <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies		10. <input type="checkbox"/> Statement of status and support for all changes to the claims. See 37 CFR 1.173 (c). 11. <input type="checkbox"/> Original U.S. Patent for surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)  12. <input type="checkbox"/> Foreign Priority Claim (35 U.S.C. 119) ( <i>if applicable</i> )  13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  14. <input type="checkbox"/> English Translation of Reissue Oath/Declaration ( <i>if applicable</i> )  15. <input checked="" type="checkbox"/> Preliminary Amendment  16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 17. Other: ....  ....  ....

18. CORRESPONDENCE ADDRESS			
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or <input type="checkbox"/> Correspondence address below			
Name	05179		
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NAME (Print/Type)	Stephen A. Slusher	Registration No. (Attorney/Agent)	43,924
Signature		Date	6/27/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.



PTO/SB/56 (02-01)

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## REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)  
41145-1001

## Claims as Filed - Part 1

Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 5	Total Claims (37 CFR 1.16(j))	(B)	****	x \$ 9 =		or	x \$ _____ =	
(C) 2	Independent claims (37 CFR 1.16(l))		*	= x \$ 40 =			x \$ _____ =	
							\$ _____	
Basic Fee (37 CFR 1.16(h))				\$ _____		OR		
Total Filing Fee				\$ _____		OR		

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** 14	MINUS	** 20	* =	x \$ 9 =		x \$ _____ =	
Independent Claims (37 CFR 1.16(l))	*** 4	MINUS	***** 3	=	x \$ 40 =	40		x \$ _____ =
					Total Additional Fee	\$ 395		OR \$

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancellation of claims.

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

- Applicant claims small entity status. See 37 CFR 1.27.
- Please charge Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 13-4213.  
A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ 395.00 to cover the filing / additional fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

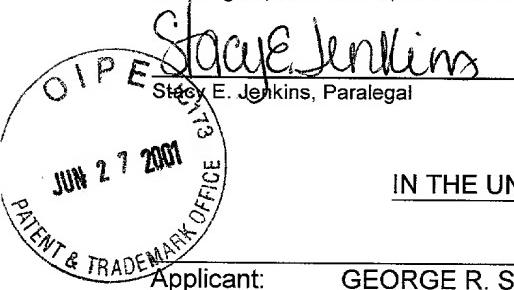
June 27, 2001  
Date

  
Signature of Applicant, Attorney or Agent of Record

Stephen A. Slusher, 43,924  
Typed or printed name

REISSUE PATENT APPLICATION

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as Express Mail "Post Office to Address" service, having mailing label number EL847395679US in an envelope addressed to: Box Reissue, Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.



6/27/01  
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GEORGE R. SCHWARTZ  
Patent No.: 5,916,242  
Issue Date: June 29, 1999  
For: APPARATUS FOR RAPID COOLING  
OF THE BRAIN AND METHOD OF  
PERFORMING SAME

REQUEST FOR ABSTRACT OF TITLE

Box: REISSUE  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Please prepare a certified Abstract of Title, in respect of the above-identified original patent for placing in the official file of the Reissue application, which is filed herewith.
2. For the fee required by 37 C.F.R. 1.19(b)(4), please find enclosed \$25.00. If any additional fee is required, or any refund is appropriate, please charge such amount to Deposit Account No. 13-4213.

Date: 27 June 2001

Respectfully submitted,

Stephen A. Slusher, Reg. No. 43,924  
Direct line: (505) 998-6130

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